

My residence, post office address and citizenship are as stated below next to my name,







the specification of which

\_\_\_\_\_ was filed on \_\_\_\_\_ and identified as Attorney Docket No. \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed

	
Yes	No
	
Yes	No
	
Yes	No

<u>08/957,757</u>	<u>October 24, 1997</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
<u>08/853,955</u>	<u>May 9, 1997</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

[illegible]

Steven F. Caserza	29,780
Laura L. Kulhanjian	33,257
Michael A. Kaufman	32,988
Janet E. Muller	35,294
Edward N. Bachand	37,085
R. Michael Ananian	35,050
Stephen M. Knauer	38,208
Robin M. Silva	38,304
David C. Ashby	36,432
Julian Caplan	14,785

02/93

09480882 - 011000

Full name of sole or second inventor: Jeffery T. Miles

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: San Jose, California 95123

Citizenship: United States of America

Post Office Address: 6196 Gilder Drive  
San Jose, California 95123

Full name of sole or third inventor: J. Greg Stout

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Sunnyvale, California 94086

Citizenship: United States of America

Post Office Address: 642 Caliente #23  
Sunnyvale, California 94086

Full name of sole or fourth inventor: Aziz Valliani

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Fremont, California 94539

Citizenship: United States of America

Post Office Address: 1111 Tewa Court  
Fremont, California 94539

Full name of sole or fifth inventor: Abbas Rafii

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Los Altos, California 94024

Citizenship: United States of America

Post Office Address: 1546 Wisteria Court  
Los Altos, California 94024



Applicant or Patentee: AN et al.Serial or Patent No.: FILED HEREWITHFiled or Issued: 10 January 2000For: AN ELECTRONIC RECEIPTS SERVICE

Attorney Docket No.:

A-65188-1/TOH/MAK/LM

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:
NAME OF SMALL BUSINESS CONCERN: RECEIPTCITY.COM, INC.ADDRESS OF SMALL BUSINESS CONCERN: 3051 N. 1st Street  
San Jose, California 95134

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled AN ELECTRONIC RECEIPTS SERVICE

☒ the specification filed herewith

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

described in

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE IN ORGANIZATION

ADDRESS OF PERSON SIGNING

Aziz VallianiPresident & CEO3051 N. 1st StreetSan Jose, California 95134

SIGNATURE \_\_\_\_\_

DATE

1/10/00

Applicant or Patentee: V. ANI et al.

Serial or Patent No.: 08/557,757

Filed or Issued: October 24, 1997

**Attorney Docket No.:**

A-65188/TOH/MAK/LM

For: METHOD AND SYSTEM FOR AUTOMATED ELECTRONIC RECEIPT OF TRANSACTIONS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

**I hereby declare that I am**

( ) the owner of the small business concern identified below:  
(X) an official of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: RECEIPTCITY.COM, INC.  
ADDRESS OF SMALL BUSINESS CONCERN: \_\_\_\_\_

ADDRESS OF SMALL BUSINESS CONCERN: RECEIPTCITY.COM, INC.  
3051 N. 1st Street  
San Jose, California 95134

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled METHOD AND SYSTEM FOR AUTOMATED ELECTRONIC RECEIPT OF TRANSACTIONS described in

( ) the specification filed herewith

(X) application serial no. 08/957,757, filed October 24, 1997  
( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

( ) patent no. \_\_\_\_\_ issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

**FULL NAME**

**ADDRESS**

( ) Individual

**[ ] Small Business Concern**

☐ Nonprofit Organization

**FULL NAME**

**ADDRESS**

☒ Individual

**[ ] Small Business Concern**

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE IN ORGANIZATION

ADDRESS OF PERSON SIGNING

### Aziz Valliani

**President & CEO**

**3051 N. 1st Street**

**San Jose, California 95134**

**SIGNATURE**

DATE \_\_\_\_\_

Form 1.27  
Rev. 8/82 (PTO Rev. 7/89)

(SF-100587B-1)